SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ss of Reporting Person nagement, LLC			er Name and Ticker of Ascend Corp. [bol			tionship of Reporting F all applicable) Director	Person(s) to Issuer	
(Last) 14 NORTH LAI	(First) KE ROAD	(Middle)		e of Earliest Transacti /2022	on (Monti	h/Day	/Year)		X	Officer (give title below)		specify
(Street) ARMONK (City)	NY (State)	10504 (Zip)	4. lf Ar	nendment, Date of Or	iginal File	ed (Mo	onth/Day/Year)		6. Indiv	idual or Joint/Group Fi Form filed by One F Form filed by More	Reporting Person	,
		Table I - No	n-Derivative	Securities Acq	uired,	Disp	osed of, or	Benefi	cially Ow	rned		
1. Title of Security	(Instr. 3)		2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (Ir 8) Code		4. Securities Ad Disposed Of (D Amount			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

Common Shares 09/28/2022 P 65,000 A \$1.3568 90,600,504 D⁽¹⁾⁽²⁾⁽³⁾ Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

		(e.g.	, puts,	calls	, warr	ants, c	options, co	onvertible	e securitie	es)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		5. Num Derivat Securit Acquire or Disp (D) (Ins and 5)	tive ties ed (A) bosed of	6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and A Securities U Derivative Se (Instr. 3 and	nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		

1. Name and Address of Reporting Person*

JW Asset Ma	nagement, LLC	
(Last)	(First)	(Middle)
14 NORTH LAP	KE ROAD	
(Street)		
ARMONK	NY	10504
(City)	(State)	(Zip)
1. Name and Addres	ss of Reporting Person*	
JW Partners,	<u>LP</u>	
(Last)	(First)	(Middle)
14 NORTH LAP	KE ROAD	
(Street)		
ARMONK	NY	10504
(City)	(State)	(Zip)

J.W. Opportuniti	es Master Fun	<u>d, Ltd.</u>
(Last)	(First)	(Middle)
27 HOSPITAL ROA	D	
GEORGE TOWN		
(Street)		
GRAND CAYMAN	E9	KY1-9008
(City)	(State)	(Zip)
1. Name and Address of	Reporting Person *	
JW GP, LLC		
(Last)	(First)	(Middle)
14 NORTH LAKE F	ROAD	
(Street)		
ARMONK	NY	10504
(City)	(State)	(Zip)
1. Name and Address of	Reporting Person*	
Wild Jason G.		
(Last)	(First)	(Middle)
14 NORTH LAKE F	ROAD	
(Street)		
ARMONK	NY	10504
(City)	(State)	(Zip)

Explanation of Responses:

1. This Form 4 is being filed by JW Asset Management, LLC (the "Advisor") on behalf of itself and JW Partners, LP ("JWP"), JW Opportunities Master Fund, Ltd ("JWO"), JW GP, LLC (the "General Partner"), and Jason G. Wild ("Wild" and, together with the Advisor, JWP, JWO, and the General Partner, the "Reporting Persons"). The Advisor serves as the investment advisor of JWP and JWO. The General Partner serves as general partner to JWP and the manager of JWO. Wild is the managing member of the Advisor and the General Partner.

2. The amount of 65,000 in item 4 of Table I reflects the 65,000 Common Shares directly acquired by Wild in the open market transaction requiring the filing of this statement. In accordance with Instruction 4(b)(iv) of Form 4, the entire amount of the Issuer's securities held by the Reporting Persons is reported herein. Each of the Advisor, Wild and the General Partner, disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of its or his indirect pecuniary interest therein, and this report shall not be deemed an admission that either the Advisor, Wild or the General Partner are the beneficial owner of such securities for purposes of Section 16 or for any other purposes.

3. The amount of 90,600,504 in item 5 of Table I includes 2,185,997 direct non-derivative securities beneficially owned by Wild, including the RSUs previously reported.

/s/ Jason Klarreich, Attorney-in-Fact, JW Asset Management, LLC ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Information Regarding Joint Filers

Designated Filer of Form 4: JW Asset Management, LLC

Date of Earliest Transaction Required to be Reported: September 28, 2022

Issuer Name and Ticker Symbol: TerrAscend Corp. (TRSSF)

Names: JW Asset Management, LLC, JW Partners, LP, JW Opportunities Master Fund, Ltd, JW GP, LLC and Jason G. Wild.

Address: JW Asset Management, LLC 14 North Lake Road Armonk, NY 10504

Signatures:

The undersigned, JW Partners, LP, JW Opportunities Master Fund, Ltd, JW GP, LLC and Jason G. Wild, are jointly filing the attached Statement of Ownership of Securities on Form 4 with JW Asset Management, LLC with respect to the beneficial ownership of securities of TerrAscend Corp.

JW ASSET MANAGEMENT, LLC By: Jason G. Wild, its Managing Member

<u>/s/ Jason Klarreich</u> Jason Klarreich, Attorney-in-Fact

JW PARTNERS, LP By: JW GP, LLC, its General Partner

<u>/s/ Jason Klarreich</u> Jason Klarreich, Attorney-in-Fact

JW OPPORTUNITIES MASTER FUND, LTD By: JW GP, LLC, its Manager

<u>/s/ Jason Klarreich</u> Jason Klarreich, Attorney-in-Fact

JW GP, LLC By: Jason G. Wild, its Managing Member

<u>/s/ Jason Klarreich</u> Jason Klarreich, Attorney-in-Fact

JASON G. WILD

<u>/s/ Jason Klarreich</u> Jason Klarreich, Attorney-in-Fact