SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>SCHUTTER EDWARD J</u>					2. Issuer Name and Ticker or Trading Symbol <u>TerrAscend Corp.</u> [TRSSF]										ationship of F all applicab Director		Person(s) to Issuer 10% O\	vner
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 03/21/2022										Officer (g below)	ive title		Other (below)	specify	
C/O TERRASCEND CORP. 3610 MAVIS ROAD					4. If Amendment, Date of Original Filed (Month/Day/Year)									ndividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) MISSISSAUGA A6 L5C 1W2														Form file	d by More	than O	ne Reportir	ig Person	
(City)	(State)	(Zi	p)																
		Та	able I - No	n-Der	ivativ	e Se	ecuritie	s Acq	uired,	Disp	osed of,	, or l	Benefi	cially Ov	vned				
Date				. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			Securities Beneficial Following	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		nership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(
Common Stock 03/2					21/2022				Р		180,00)0	Α	\$5.59 ⁽¹⁾	1,236,547			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Conversion Date Execution Date, or Exercise (Month/Day/Year) if any Price of Derivative (Month/Day/Year)		ate,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Yo		e Sec ear) Der		7. Title and Amount Securities Underlyi Derivative Security (Instr. 3 and 4) Amou or Numi		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$5.5293 to \$5.60, inclusive. The reporting person undertakes to provide TerrAscend Corp., any security holder of TerrAscend Corp., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnote (1) to this Form 4.

By: /s/ Keith Stauffer, by Power of

Attorney dated December 28, 03/23/2022 2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.