SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	OMB Number:	3235-0287
	Estimated average burden	
	hours per response:	0.5

OMB APPROVAL

Γ

1. Name and Address of Reporting Person* Swartzman Lisa				2. Issuer Name and Ticker or Trading Symbol <u>TerrAscend Corp.</u> [TRSSF]							(Check	5. Relationship of Reporting Person(s) to (Check all applicable) X Director 1				whor	
(Last)	(First)	(M	iddle)		3. Date of Earliest Transaction (Month/Day/Year) 03/25/2022							Officer (g below)	jive title		10% Ov Other (s below)		
C/O TERRASCEND CORP. 3610 MAVIS ROAD				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(Street) MISSISSAUG	A A6	L5	5C 1W2										Form file	d by More	than One	e Reportin	g Person
(City)	(State)	(Zi	p)														
		Та	ble I - Nor	n-Deri	vative Se	ecurities Acq	uired,	Disp	osed of,	, or l	Benefi	cially Ow	ned				
Date					2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dispos Code (Instr.			ecurities Acquired (A) or posed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)
Common Stock 03/2					.5/2022		F		2,666	5	D	\$6.74 ⁽¹⁾	103,820			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/)	c	I. Fransaction Code (Instr. 3)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expirati	on Dat	xercisable and n Date ay/Year) 7. Title and Amo Securities Under Derivative Secur (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5) 8. Price of derivative Securities Beneficial Owned Following Beported		e O s F Ily D o g (l	0. Ownership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Date

Exercisable

Expiration

Title

2021

Date

Explanation of Responses:

1. This figure is in Canadian dollars.

By: /s/ Keith Stauffer, by Power of Attorney dated December 29,

Amount

of Shares

or Number

04/01/2022

Transaction(s)

(Instr. 4)

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v Code

(A)

(D)