SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

1. Name and Address of Reporting Person [*] Swartzman Lisa					lame and Ticker c			bol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)				Date of I /27/202	Earliest Transactio	on (Month	h/Day	Year)		Director Officer (give title below)	10% O Other (below)				
C/O TERRASCEND CORP. 3610 MAVIS ROAD			4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)											Form filed by More	than One Reporting	ng Person		
MISSISSAUGA	A6	L5C 1W2													
(City)	(State)	(Zip)													
		Table I - No	n-Derivativ	ive Se	ecurities Acq	uired, I	Disp	osed of, or	Benefic	cially Ow	ned				
Date			2. Transactio Date (Month/Day/Y	Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Ad Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)		
Common Stock			06/27/202)22		Α		14,598	A	(1)	118,418	D			
Common Stock			06/27/202)22		F ⁽²⁾		3,650	D	\$2.74	114,768	D			
Common Stock			06/27/202)22		Α		75,729	A	(3)	190,497	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)			3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Represents a grant of an equity award that vested on the same as the grant, June 27, 2022.

2. Represents shares withheld to cover taxes due upon the vesting of the restricted stock units.

3. Represents a grant of an equity award that will vest on December 31, 2022.

/s/ Keith Stauffer as Agent and Attorney-in-Fact for Lisa Swartzman

** Signature of Reporting Person

Date

06/29/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.